

T120

Data Representation

User-defined types

An enumerated type, drawn

TYPE Vehicle =

M100M230T101T102T120T150

MyTaxi may only hold one of these named values

The values are named constants in a fixed order, so they can be compared and counted – but they are not strings and not integers.

An enumerated type 枚举类型 lists its own allowed values by name, so the compiler can reject anything else – clearer than remembering that 3 means Wednesday.

Data Representation

User-defined types

Pointer type – an address, not a value

A pointer 指针 holds the memory address of another variable (or NULL).

builds dynamic structures: lists, trees

passes a reference without copying

dereference 解引用 p^ reaches the target

Point at a node

TYPE PNode = ^TNode  
DECLARE p : PNode  
p ← NEW TNode  
p^.Value ← 42

p  
addr

p^

TNode

Value = 42

Next =NULL

Data Representation

User-defined types

A pointer holds an address

a pointer holds an address

p

points to

Value = 42 | Next

p^ dereferences — reach the node's fields, e.g. p^.Value

The variable stores where the data is, not the data – which is what lets a linked structure grow without moving anything.

A pointer 指针 does not hold the data; it holds where the data is. Follow it to reach the value – and a pointer to nothing is null.

Data Representation

User-defined types

Composite types – several values, one name

A composite type 复合类型 groups several values under one name.

record  
记录

fields of different types in a TYPE ...ENDTYPE block

set  
集合

unordered, unique values: add, remove, membership, union

class  
类

attributes and methods – an object is an instance

Enumerated and pointer are non-composite. Record, set and class are composite.

Data Representation

User-defined types

Two composite shapes

record Student

Name : STRING

Age : INTEGER

Grade : CHAR

Enrolled : BOOLEAN

one name, several fields of different types

37194

unordered, every value unique

A record 记录 groups different types under one name, read by field; a set 集合 is unordered with no duplicates, and is only ever asked “is this in it?”

Data Representation

User-defined types

Choosing a type

Pick the type that matches the kind of value, not the first one that compiles.

a value from a fixed list

enumerated

a group of fields

record

an unordered unique collection

set

indirection / a linked structure

pointer

state and behaviour together

class

# 2 File organisation

Data Representation  
└ File organisation

## Three ways to lay records out

**File organisation** 文件组织 is the layout; **file access** is how you reach a record.

### serial

串行文件

records in the *order added*. Append is fast; search is slow. Logs.

### sequential

顺序文件

sorted on a key. Search can stop early; insert must shift.

### random

随机文件

position from the key (often a hash). Direct lookup is fast.

Data Representation  
└ File organisation

## Serial – records in arrival order

records stored in the order they were added

|              |               |              |               |              |              |           |
|--------------|---------------|--------------|---------------|--------------|--------------|-----------|
| First record | Second record | Third record | Fourth record | Fifth record | Sixth record | and so on |
|--------------|---------------|--------------|---------------|--------------|--------------|-----------|

↑ Start of file

New records go on the **end**. Simple to write, but any search reads from the start.

A **serial file** 顺序文件 appends each record as it arrives, so writing is fast and finding one means reading from the start.

Data Representation  
└ File organisation

## Sequential – ordered by a key

records sorted into key order

|           |           |           |           |           |            |           |
|-----------|-----------|-----------|-----------|-----------|------------|-----------|
| Customer  | Customer  | Customer  | Customer  | Customer  | Customer   | and so on |
| key = 100 | key = 101 | key = 102 | key = 104 | key = 105 | key = 1066 |           |

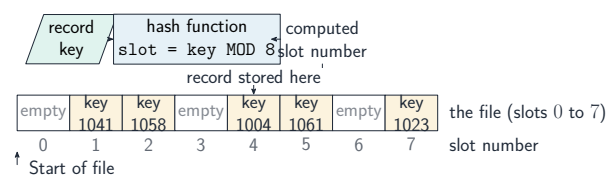
↑ Start of file

Records are kept in **key order**, so a search can stop early – but an insertion means rewriting the file.

A **sequential file** 有序文件 keeps records **sorted by a key**, so a search can stop early – but every insertion has to make room.

Data Representation  
└ File organisation

## Random – the key computes the address



A **hash** 散列 of the key gives the record's position, so one read finds it – **direct access**, at the cost of managing collisions.

Data Representation  
└ File organisation

## Sequential access vs direct access

- **sequential access** 顺序存取 – read from the start, one record after another
- **direct access** 直接存取 – jump straight to a known position

Serial and sequential files **need** sequential access. A random file **allows** direct access.

Match the layout to the dominant operation: single-key lookups favour random; in-order reports favour sequential.

serial / sequential walk from the start

random hash, then jump

## Converting

- **binary** → **denary**: read the mantissa (use two's-complement rules if negative) as a fraction, read the exponent as a signed integer, then multiply mantissa by  $2^{\text{exponent}}$ .
- **denary** → **binary**: write the number as a binary fraction  $\times$  a power of 2, then store the mantissa and exponent in the agreed formats.

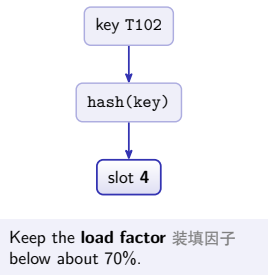
# 3 Hashing

## A hash function turns a key into an address

A **hash function** 散列函数 takes a record key and produces the **slot** where the record is stored. A good one is fast,

**deterministic** 确定性, and spreads keys evenly. For  $N$  slots:

- modulo:  $\text{key} \bmod N$
- folding: split, add the pieces,  $\bmod N$
- string: sum character codes,  $\bmod N$



## Collisions – two keys, one slot

A **collision** 冲突 is when two keys hash to the same address.

### linear probing

线性探测

use the next free slot, wrapping around. Simple, but keys *cluster*.

### chaining

链接法

each slot points to a **linked list** 链表. No clustering; uses more memory.

### rehashing

再散列

apply a second hash function. Spreads keys, more work.

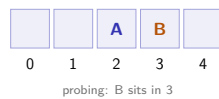
## Worked example – resolve a collision

### Keys A and B both hash to slot 2

**Linear probing:** B goes in the next free slot (3).

**Chaining:** slot 2 holds a list  $A \rightarrow B$ .

To search: hash the key, read that slot; if the keys do not match, follow the resolution strategy until a match or an empty slot.



Empty slot = "not found" when probing.

# 4 Floating-point

Data Representation  
└ Floating-point

Mantissa × two-to-the-exponent

A **floating-point** 浮点 number is binary scientific notation:

value = mantissa × 2<sup>exponent</sup>

Both fields are **two's complement** 补码 integers.

- more mantissa bits ⇒ more **precision**
- more exponent bits ⇒ more **range**

The mantissa is a binary *fraction*: the first bit after the point is  $\frac{1}{2}$ , then  $\frac{1}{4}$ ,  $\frac{1}{8}$ , ...

mantissa  
0.1010000

exponent  
00000010

0.625 × 2<sup>2</sup> = 2.5

Mantissa 10110000, exponent 00000011

Exponent 00000011 = +3.  
Mantissa starts with 1, so it is **negative**. Sign bit = -1, fraction  $\frac{1}{4} + \frac{1}{8} = 0.375$ :

$m = -1 + 0.375 = -0.625$   
value =  $-0.625 \times 2^3 = -5.0$

A negative mantissa follows two's-complement rules. Convert the exponent first – it is the easy part.

Data Representation  
└ Floating-point

Worked example – store +2.5

Write +2.5 in this 8+8-bit format

In binary 2.5 = 10.1.  
As a normalised fraction: 2.5 = 0.101 × 2<sup>2</sup>.  
mantissa 01010000 (sign 0, then .101)  
exponent 00000010 (= 2)

10.1<sub>2</sub>

0.101 × 2<sup>2</sup>

01010000  
00000010

Data Representation  
└ Floating-point

Normalisation maximises precision

A number is **normalised** 规格化 when the first significant bit sits **immediately after the binary point** – no wasted leading zeros.

- positive: starts 0.1...
- negative (two's complement): starts 1.0...

Shift the mantissa left and decrease the exponent (or right and increase it) until that pattern holds. The value is unchanged.

Normalise 0.0101

Shift left until it reads 0.1...:

0.0101 → 0.101 × 2<sup>-1</sup>

mantissa 0.101, exponent -1

Each **left** shift subtracts one from the exponent.

Data Representation  
└ Floating-point

Approximation and rounding errors

Many denary reals **cannot be stored exactly** in binary – e.g.

- **rounding errors** 舍入误差 build up over many operations (0.1 + 0.2 is not exactly 0.3).
- **comparisons fail** – test ABS(x - 0.3) < 1e-9 instead of x = 0.3.
- subtracting two nearly-equal values loses precision.
- **overflow** 溢出 (a result too large for the exponent's range) and **underflow** 下溢 (a result too small, rounding to zero) occur when the exponent runs out of range.

Data Representation  
└ Floating-point

What normalising does to the bits

before 0.0011010 exponent 4 (two wasted leading zeros)

↓ shift left 2, exponent - 2

after 0.1101000 exponent 2 (normalised — same value)

Shifting until the first two bits differ uses every mantissa bit for **precision** instead of for leading zeros.

Normalising shifts the mantissa until the first two bits **differ**, adjusting the exponent to match. That uses every bit of precision the mantissa has.

## Why $0.1 + 0.2$ is not $0.3$

Many denary reals **cannot be stored exactly** in binary –  $0.1_{10} = 0.000110011\dots_2$  must be truncated.

### rounding

舍入误差

errors build up over many operations

### overflow

溢出

result too large for the exponent's range

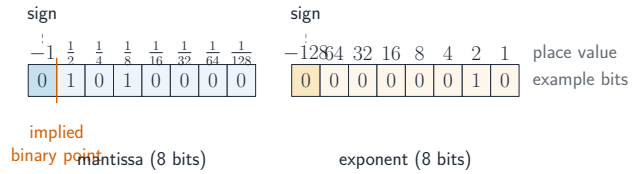
### underflow

下溢

result too small, rounds to zero

Never test reals with  $=$ . Use a tolerance, or use **fixed-point** 定点 / BCD for money.

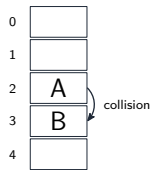
## Floating-point numbers



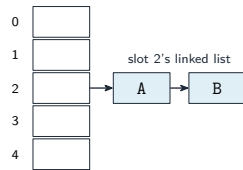
The place values of an 8-bit mantissa and an 8-bit exponent

## Resolving a hash collision

### linear probing



### chaining



Resolving a hash collision: linear probing uses the next free slot; chaining keeps a linked list per slot

## Exam tips

- For **floating-point** binary  $\rightarrow$  denary, read the mantissa as a fraction and the exponent as a signed integer, then multiply; a **negative mantissa** follows two's-complement rules.
- Normalise** by shifting until the first digit after the point differs from the sign bit – this maximises precision.
- Explain **rounding**, **overflow** and **underflow** errors and why  $0.1$  cannot be stored exactly.
- Compare file organisation (serial, sequential, direct) and how **hashing** finds a record quickly.

# 5

## Recap

## Topic 13 – key takeaways

### 1 Types

enumerated, pointer, record, set, class

### 2 Files

serial, sequential, random; sequential vs direct access

### 3 Hashing

key  $\rightarrow$  address; probing / chaining / rehash

### 4 Float

$m \times 2^e$ ; normalise; rounding / overflow

## Check yourself

- 1 Which file type gives direct access by key?
- 2 Linear probing vs chaining – what is the trade-off?
- 3 How does a normalised *positive* mantissa start?
- 4 Why can  $0.1_{10}$  not be stored exactly?

**Answers** 1. random 2. probing clusters; chaining uses extra memory 3.  $0.1\dots$  4. it is a repeating binary fraction